

Directorate	Service Area	Audit Area	Audit Scope	Grading	Progress / Comments
Commercial	Workforce Management	Absence Management	To determine the effectiveness of the internal controls operating in respect of Absence Management.	Not yet determined	A review commenced in August 2023. Draft report being reviewed.
	Procurement	Contract Safeguarding	To determine the effectiveness of the internal controls operating for contracts in respect of Safeguarding.	Not yet determined	A review commenced in September 2023. Draft report being prepared.
		Contracts - Under 75K	To determine the effectiveness of the internal controls and ensure compliance with Contract Procedure Rules for contracts under 75K.	Not yet determined	A review commenced in September 2023. Audit testing ongoing.
		Contracts - Agency	To determine the effectiveness of the internal controls operating for contracts in respect of Agency staff	Not yet determined	A review commenced in August 2023. Audit testing ongoing.
Education	Education Transformation	Control Risk Self Assessment Schools	Internal Audit review of the Control Risk Self Assessment (CRSA) programme issued to all schools.	Not yet determined	A review commenced in April 2023. Draft report being reviewed.
		Establishment Audit - St Iltyds Primary School	To determine the effectiveness of the internal controls operating at St Iltyds Primary School.	Not yet determined	A review commenced in December 2023. Audit planning is ongoing.
		School system - Safeguarding Volunteers	The scope of the audit is to determine the effectiveness of the internal controls for safeguarding in respect of volunteers at schools	Not yet determined	A review commenced in October 2023. Audit testing ongoing.
	Inclusion Services	Out of county placements education	To determine the effectiveness of the internal controls operating in respect of Out of County Education Placements.	Limited Assurance (Provisional)	Draft report issued in December 2023. A meeting has been arranged to agree an action plan.
Resources	Financial Services	Creditors - Central Control Risk Self Assessment (CRSA)	To determine the effectiveness of the internal controls operating in with regards to the Creditors System. A Control Risk Self Assessment (CRSA) methodology has been used for this audit.	Reasonable Assurance	Final report issued, 2 weaknesses were identified of which 1 was classified as High Risk and 1 was Medium Risk. The Manager has agreed an appropriate action to mitigate all weaknesses identified.
		Silent Valley Leasing	A review to determine the leasing arrangements have been novated over to Blaenau Gwent	Reasonable Assurance (Provisional)	Draft report issued in September 2023. A meeting has been arranged to agree an action plan.
		Bedwelty House and Park - Charity Accounts	Independent audit review to provide an annual return for the charity as prescribed by the Charity Commission.	Not yet determined	A review commenced in December 2023. Audit planning ongoing.
		Ebbw Vale Recreation Ground - Charity Accounts	Independent audit review to provide an annual return for the charity as prescribed by the Charity Commission.	Not yet determined	A review commenced in December 2023. Audit planning ongoing.
		Petty Cash C/fwd	To determine the effectiveness of the internal controls operating in respect of Petty Cash Accounts.	Limited Assurance (Provisional)	Draft report issued June 2023. A meeting has been arranged to agree the action sheet. Additional testing conducted prior to issuing the final report.
	Revenue Services	Social Services Debtors	To determine the effectiveness of the internal controls operating in respect of Social Services Debtors.	Not yet determined	A review commenced in May 2023. Draft report being reviewed.
		Council Tax Control Risk Self Assessment (CRSA)	To determine the effectiveness of the internal controls operating in with regards to the Council Tax System. A Control Risk Self Assessment (CRSA) methodology has been used for this audit.	Not yet determined	A review commenced in November 2023. Audit testing ongoing.
Social Services	Provider Services	Domiciliary Care	To determine the effectiveness of the internal controls operating in respect of Internal Domiciliary Care.	Reasonable Assurance	Final report issued, 6 weaknesses were identified of which 2 were classified as High Risk, 1 was Medium Risk and 3 were Low Risk. The Manager has agreed an appropriate action to mitigate all weaknesses identified.
	Adult Services - Development & Commissioning	Community Meals Service	To determine the effectiveness of the internal controls operating in respect of Community Meals.	Not yet determined	A review commenced in August 2023. Audit testing ongoing.
	Childrens Services	Provider Safeguarding	To determine the effectiveness of the internal controls operating in respect of Provider Safeguarding.	Not yet determined	A review commenced in December 2023. Audit planning ongoing.
Environment	Property	Asbestos Management	To determine the effectiveness of the internal controls operating in respect of Asbestos Management.	Not yet determined	A review commenced in July 2023. Audit testing ongoing.
	Regeneration - Thriving Communities	Shared Prosperity Fund	To determine the effectiveness of the internal controls operating in respect of the Service Level Agreement for the Shared Prosperity Fund.	Not yet determined	A review commenced in June 2023. Draft report being reviewed.
	Regeneration - Development Control	Planning Policy	To determine the effectiveness of the internal controls operating in respect of the Planning Policy.	Not yet determined	A review commenced in December 2023. Audit planning ongoing.
Governance and Partnerships	Partnership and Policy	Community Safety	To determine the effectiveness of the internal controls operating in respect of Community Safety, with particular emphasis on Domestic Homicide review (DHR)	Reasonable Assurance (Provisional)	Draft report issued in November 2023. A meeting has been arranged to agree an action plan.
Legal Services	Corporate Services & Registration	Elections	The scope of the audit is to determine the effectiveness of the internal controls for the conduct of local elections in line with legislation and guidance provided by the Electoral Commission.	Not yet determined	A review commenced in December 2023. Audit planning ongoing.